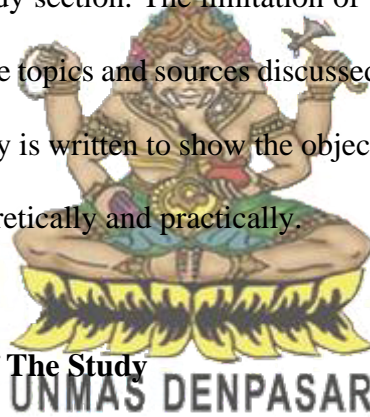


# **CHAPTER I**

## **INTRODUCTION**

The introduction chapter contains the background of the study, problems of the study, objectives of the study, limitations of the study, and significance of the study. The background of the study contains a brief description of the research that has been conducted. The problem of the study contains the problems discussed by the writer when conducting the research and the research objectives are written in the objective of the study section. The limitation of the study is written to provide limitations regarding the topics and sources discussed in this study. And finally, the significance of the study is written to show the objectives obtained from the results of this study, both theoretically and practically.



### **1.1 Background of The Study**

Literature is a work of art and it is debated that no one can understand art except the person who wrote it himself/herself because literature cannot be studied, it can only be read, enjoyed, and appreciated. This is what we can do to enjoy a literary work (Wellek and Warren, 1993:3), but in today's era, many can understand art and even modify the work of art in their own style but still do not eliminate the original author's characteristics or original intent and purpose. Based on Wellek and Warren (1993), literary theory is the study of principles, categories, and criteria used as a benchmark for studying literature.

Taum (1997) stated that, conceptually, literature is a fiction or systematic knowledge that regulates the relationship between observed phenomena based on a certain point of view. In the Middle Ages, English literature was divided into three types; poetry, prose, and drama. And continues to develop along with the times, until now drama is not only played directly but can be watched repeatedly which is made digitally called a series or movie.

A movie is an art to play with time and space to become a high and interesting work of art. Movie, which is also the development of photography, has become of many types and has produced many actors from various countries. The movie, which also consists of made-up stories, still follows literary elements, such as containing plots, characterizations, settings, themes, and structures in the form of a script which is then acted out by several actors and artists to produce a good movie (Sumarno, 1996). Besides the movies that are played by actors and actresses, there are also types of animated movies that are edited by combining many images into one but still following the existing literary elements.

The animation created by Pixar Animation Studios entitled "Luca" is used in this study. This animated movie is released on June 18, 2021, directed by Enrico Casarosa, and written by Jesse Andrews and Mike Jones. This tale of Luca's unforgettable summer life is set in a beautiful seaside town on the Italian Riviera (Liguria), an unforgettable adventure filled with endless gelato, pasta, and scooter rides with a little secret between them. (Wikipedia, 2021).

The main purpose of this study is to analyze how the main characters are characterized in the movie and to identify the type of personality possessed by the

main characters. In the Luca movie, there are three characters who are used as the main characters, they have different characters from each other. In analyzing the characteristics, the study used the theory stated by Morner & Rausch (1998) in their book entitled “NTC's Dictionary of Literary Terms” and this study used the personality type theory proposed by Gale (2017) in his book “How to Analyze People Ultimate Guide; Learn Psychology, Body Language, Perception, Types of Personalities, and Universal Rules” to analyze the personality characteristics of each main characters.

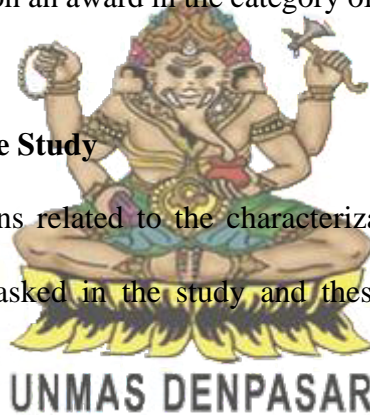
Based on Morner and Rausch (1998) says that there are three basic methods of characterization, namely direct description of physical appearance and explanation of character traits and attributes, presentation of the character in action without interpretive comment by the author, and representation of the character's inner self. The second theory is based on Gales's (2017) theory about the type of personality. Personality can be analyzed after meeting or seeing how the character or person behaves and acts. Gale (2017) provides several types of personality characters, namely; The Architect, The Logician, The Commander, The Debater, The Advocate, The Mediator, The Protagonist, etc. His book also added some character personalities that we often encounter such as; Extroverts, Introverts, Sensing, Intuition, Thinking, etc. This theory is used as a reference to determine and describe the types of personality types possessed by the three main characters in the Luca movie.

A movie entitled “Luca” is used by the writer as a data source because this movie has a light and interesting storyline to watch. This movie has three main

characters who are group mates, Luca and Alberto are sea monsters who meet Giulia who is a human girl in a seaside town. They decide to take part in a racing competition held in the city together in order to achieve their respective goals. Luca and Alberto initially hid the fact that they were sea monsters from Giulia, but in the middle of the story, Giulia found out about this fact, but Giulia decided to remain friends with the two of them. The three main characters in this movie also have various characteristics and personalities that are different and interesting to analyze. This movie has been watched by many people, has entered various award categories, and even won an award in the category of ‘The Family Movie of 2021’.

### 1.2 Problems of the Study

Several questions related to the characterizations in the animated movie entitled “Luca” were asked in the study and these questions are described as follows:



1. How are the main characters being characterized in the “Luca” movie?
2. What kind of personalities are the main characters in “Luca” movie?

### 1.3 Objectives of the Study

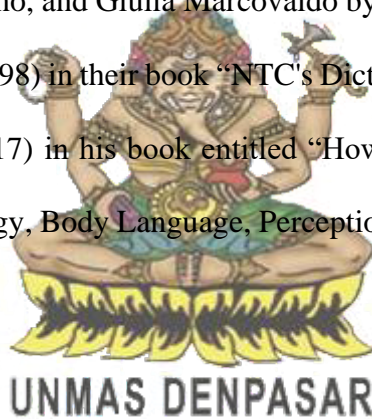
Each discussion of the questions above has a purpose to explain, the purposes of doing the study are:

1. To analyze the characteristics of the main characters being characterized in “Luca” movie.

2. To find out the kind of personalities of the main characters in “Luca” movie.

#### **1.4 Limitations of the Study**

The scope of the study of the characterization of the main characters in the animation entitled “Luca” in this study takes a more specific discussion. Therefore, the writer wants to limit the formulation of the problem regarding the characterization of the main characters in the movie “Luca” consisting of Luca Paguro, Alberto Scorfano, and Giulia Marcovaldo by using the theory proposed by Morner and Rausch (1998) in their book “NTC's Dictionary of Literary Terms” and the theory of Gale (2017) in his book entitled “How to Analyze People Ultimate Guide; Learn Psychology, Body Language, Perception, Types of Personalities, and Universal Rules”.



#### **1.5 Significance of the Study**

By reading this study, the writer hopes that this study can add to and enrich readers' knowledge about characters and literature. The writer also hopes that this study's results can provide a better and further understanding of the characterizations of the main characters in a movie and can be used as examples and references about how important characterizations are in social life.

##### **1.5.1 Theoretical Significance**

With this study, writers hope to be able to provide, distribute, and help those who are studying English or those who are studying the characterization of

characters in a movie, or who want to learn more about language, characterizations, characteristics, personality, and character in a literary work. And this study is also expected to be able to open people's horizons even just by reading it.

### **1.5.2 Practical Significance**

This study is also expected to be able to be used by subsequent writers as a reference if they want to do a related study or are still in line with this study. The writer also hopes that this study will be able to help readers to find out the type of personality that a character or a person has in the real world.



## **CHAPTER II**

### **REVIEW OF RELATED LITERATURE, CONCEPTS, AND THEORIES**

This chapter provides a review of related literature, concepts, and theories. The literature review contains several reviews of the writers and their previous studies related to this study. The concept consists of several definitions or general understanding of the terms used in this study, and the theories contain several theories that are used to analyze and describe the problems in this study.

#### **2.1 Review of Related Literature**

This study contains five reviews of related studies from previous writers; two theses and three articles, that are used by the writer as a reference in conducting the study and is presented as follows:

The first study was written by Yasa (2020), entitled “The Analysis of Main Character in The Movie Hannibal”. He wrote this study with the aim to analyze the physiological, sociological, and psychological aspects of the main characters in “Hannibal” movie and describe the motivations that shape the main character’s behavior in the movie of “Hannibal”. The theory comes from Egri (1960) and Maslow (1970). The data source for this study is the “Hannibal” movie that was published in the cinema in 2001. The results of this study indicate that physiologically the main character - Hannibal Lecter is an old man who is quite proportional in size, looks elegant, and comes from a highly respected family. This



appearance can deceive anyone who does not know that he is a cold-blooded killer. From a sociological perspective, Hannibal is a highly educated person but he has been locked up for 8 years in a mental hospital and then transferred to a prison in Tennessee. Psychologically, Hannibal is a person who pays attention to every detail, very intelligent, and is good at art and language, but he is someone who is very dangerous.

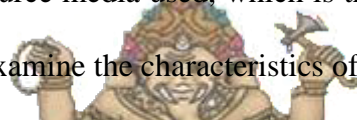
The differences between the previous study and this current study lies on the source of the data and the theory. Data from the previous study is from a movie entitled “Hannibal” and data from this recent study is from a movie entitled “Luca”. In addition, the previous study used the theory of Ergi (1960) and Maslow (1970), while this current study used theory of Gale (2017) and Monner and Rausch (1998) as the main theory. The similarity between these two studies is that they use the main character as the main topic in the study.

The second study is a thesis entitled “An Analysis of Characterization of The Main Characters in The Dictator Movie” written by Hanggur (2021). This study consists of two objectives, there are to analyze the types of characters the main character in Larry Charles’s movie entitled The Dictator and to analyze the characterization of the main characters in Larry Charles’s movie entitled “The Dictator”. The writer applies the theory proposed by Kenny (1966) and the theory of Wellek and Warren (1956). The results of this study found that physiologically, the main character is shown to have an oval face, curly hair, and a sharp nose. Sociologically, the main character is Wadiya’s president, but this changes in the middle of the story, the main character becomes a vagrant and a shopkeeper.



Psychologically, the main character is described as having a dominant selfish nature, but in the middle of the story, his character changes to be kind, friendly, and responsible.

The differences between the second previous study and this current study lie in the data sources and the theory used. The previous study used “The Dictator” movie, while this current study used the “Luca” movie as a data source. For theory, the previous study used the theory of Kenny (1966) while this recent study used the theory proposed by Gale (2017). The similarity between the previous study and this study lies in the data source media used, which is the same as using a movie, and these two studies also examine the characteristics of the main character.

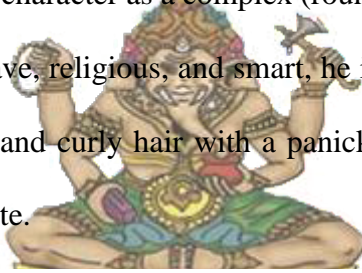


The third review is an article entitled “The Study of Characterization of the Main Character in Brave Movie”: A Literary Study by Pratiwi, et al. (2021). This study was conducted to analyze and focused on the characterization approach based on the three dimensions of the main character and intrinsic components in the movie Brave. Writers use the theory of three dimensions of the main character stated by Egri (1985). The result after analyzing this study there was found 8 data of dimensions of characterization, including Psychological with 5 data, Physiological with 2 data, and Sociological with 1 data.

The differences between these two studies lie in the source of the data and the theory. The data from the previous study is from the movie “Brave” and the data from this current study is from the movie entitled “Luca”. In the previous study, the theory used was from Egri (1985) and the theory used in this current study was

stated by Gale (2017). The similarity between the previous study and the latest study is about the main character.

The fourth review is an article written by Merta, et. al (2022). The article entitled "Characterization Analysis of The Main Character In 'Rambo 4' Movie" has two main objectives in carrying out the study; the first is to identify the characterization of the main characters in the movie "Rambo 4" and the second is to analyze the main characters described in this movie. By using the theory of Kenny (1966) and Wellek and Warren (1956), it was found that the results of the study describe the main character as a complex (round) character, John Rambo is a hard worker, caring, brave, religious, and smart. he is also physically described as a person who has long and curly hair with a panicked face, looks like a grumpy person and looks impolite.



The differences between this fourth study review and this current study is the theory and data sources used. The previous study used a movie entitled "Rambo 4" while this current study used an animated movie entitled "Luca". The theory used in the previous study is also the theory by Kenny (1966), and a theory stated by Wellek and Warren (1956), while in this recent study, the writer used the theory of Morner and Rausch (1998) and the theory of Gale (2017). The similarity in these two studies is that they both use the movie as a media source of data in the study and both study the main character.

The fifth study that is reviewed and used as a reference in this study is an article entitled "Belle's characterization as the main character in Beauty and The Beast movie by Bill Condon", this article was written in 2021 by Putriwana, et.al.

The study aims to define the characterization of the main character in a movie entitled “Beauty and The Beast” in 2017 and make the female character named Belle the protagonist in this movie. The movie, which tells a love story between a woman and a cursed figure, uses the theory of Charters (2011) and Burroway et al. (2019) and focuses on the character of Belle, showing that Belle is described as a kind, brave, creative, intelligent, and creative people who can sometimes be cheeky. She is a Disney princess who is loved and recognized by many people because she is a beautiful girl, loving, and can provide motivation to those around her.

The fifth study and this recent study have differences in the theory and the data source used. The previous study used Charters (2011) and Burroway et al (2019) as the main theories, while this recent study used Gale (2017) and Morner & Rausch (1998) theories. The previous study used a movie entitled "Beauty and The Beast" while this current study used an animation entitled "Luca". The similarities between these two studies are that they both identify the characterizations of the main characters in a movie.

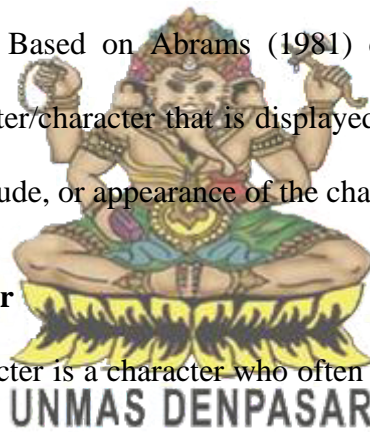
The differences between the five related studies above and this study are in the data sources and theories used. The five studies above have different data sources from this study which uses a movie entitled “Luca”. The theories used in the five studies above are also all different from the theories used in this study, which use the theory from Morner & Rausch (1998) and the theory from Gale (2017). The similarity between the five studies above and this study is that they use the main character as the object of analysis.

## 2.2 Concepts

In order to avoid misunderstanding the concepts used in this study, some definitions are provided as the following:

### 2.2.1 Characterization

Characterization is a method for analyzing and knowing a character, their personality, and their development through physical characteristics, the things they often do, the way they think and act, or even what they talk about when there is conflict in the story, this is related to creating the image of a person in a fictional story (Aquino, 1966). Based on Abrams (1981) characterization is a writer's description of a character/character that is displayed in a story, this can be in the form of the nature, attitude, or appearance of the character.



### 2.2.2 Main Character


The main character is a character who often appears and is prioritized in a story, they can also steal the reader's interest and empathy. These characters usually always have a relationship with other characters and have a big influence on the development of the plot in the story (Nurgiyantoro, 2002). The theory stated by DiYanni (2001) says that the main character is an important character who becomes the center of a story, this character is usually referred to as the protagonist who has something happen with the antagonist to trigger conflict in the story. In a story, the main character usually has several minor characters who become their companions.

### 2.2.3 Movie

According to Sumarno (1996), movie is a continuation of the development

of photography that continues to grow until finally creating an illusion that looks like a living image. The development of movies began to be recognized after the birth of many movie artists in each country and each movie has a specific target audience. For example, a feature movie produced with made-up stories played by actors and actresses along with elements of literary works such as plot, setting, characterization, structure, and theme in the form of a scenario script or sometimes a narrative. Movie shows are also equipped with sound, music, lighting, camera angles, and editing so that images or stories become more real and dramatic to create a good movie.

#### 2.2.4 Luca



Luca is an animated movie produced by Pixar Animation Studios in 2021 and distributed by Walt Disney Studios Motion Pictures. The movie, which was released on June 18, 2021, tells the story of a sea monster named Luca, who has a high curiosity but is afraid to find out about it until he finally meets Alberto: another sea monster who lives on human land. Set in a small seaside town called Porto Rosso, Italy, Luca and Alberto and their female human friend, Giulia, embark on their adventure to earn money to buy a dream Vespa that they will use to travel the world. This story tells about, a meeting, friendship, tension, and a very fun adventure with a little secret behind it (Wikipedia, 2021).

### 2.3 Theories

This study used several main theories in conducting the study. The theory that is used is the characterization theory from Monner and Rausch (1998) and the

personality type theory proposed by Gale (2017).

### 2.3.1 Characterization

According to Morner and Rausch (1998), characterization is a method for creating the personality and appearance of a fictional character, characterization is very important because it can increase the imagination of the reader and as a determinant of the success of the characterization of one of the characters in the story. This book also mentions that there are three basic methods of characterization, which are explained as follows:

1. **Direct description of physical appearance and explanation of character traits and attributes.**

Describing in this way usually appears in the introduction or statement at the beginning of the story written by the author to tell the readers directly what the character is like, besides that, this can also be seen from the appearance, attractiveness, body shape, skin and hair color of the character.

2. **Presentation of the character in action, without interpretive comment by the author.**

In this method, the author shows what the character is like by going through what the character does and says to other characters, and here the reader is free to draw conclusions about what the character is like.

3. **Representation of the character's inner self.**

This last method describes how the character is using external events such as feelings, emotions, behavior, and motivations possessed by the

character.

### 2.3.2 Type of Personalities

Based on Gale's book in 2017, there are no individuals who have the exact same nature and attitude in this world. Everyone has a different personality, and it is not easy to know a person's personality just from their appearance, it takes a special approach at a certain time to know for sure what that person's personality is and according to Gale (2017), it is impossible for a person's personality to only have one dimension. Therefore, some of the explanations below are the personality types that are used in this study.

#### Personalities Based on Reaction to Something

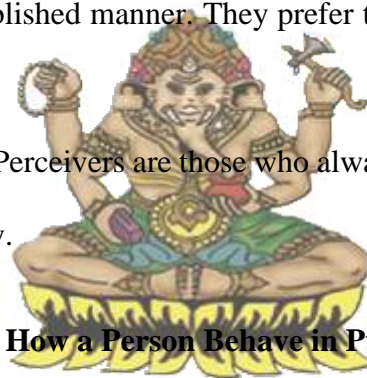
In Gale's book (2017) there are eight types of personality based on how a person thinks to deal with something or how the person's nature is to react to something, the types are as follows:

1. **Introvert** : In his book, Gale (2017) mentions that introverts are those who use their time to think of an answer to a question that is put to them.
2. **Extrovert** : On the other hand, Extroverts are those who are very eager to answer a question even if the question is not finished, this is mostly because they already know the subject of the question.
3. **Sensing** : Sensory people are people who do not really care about what will happen, because they will focus more on what is happening right now.
4. **Intuition** : Intuitive people are people who always think about what will



happen in the future or what the consequences of what they are doing now.

5. **Thinking** : Thinkers are those who do not believe in the concept of being kind to others, they cannot become wise people because they have the firm view that public morality should not be hindered by thoughts.
6. **Feeling** : Feelers are those who always think about other people's feelings, they will think about how not to offend other people in public.
7. **Judging** : People with the judging type will usually live their lives in a neat and established manner. They prefer to live their life in a structured way.
8. **Perceiving** : Perceivers are those who always live their lives flexibly and spontaneously.

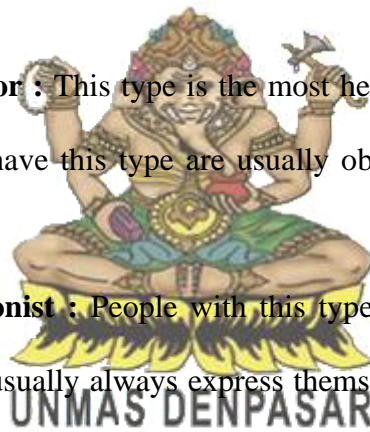


### **Personalities Based in How a Person Behave in Public**

Gale (2017) in his book states that there are sixteen personality types based on how that person behaves in front of people or how they show their attitude about what they feel. These types are explained as follows:

1. **The Architect** : The architects are those who are often labeled as bookworms, they are the type of people who like to pursue their ambitions privately and quietly. They are also the type with high curiosity.
2. **The Logician** : Logician is a very competitive and creative type. They can also tell whether the person they are talking to is being honest or lying using their logic.

3. **The Commander :** This type is the type that will generally take a stand and lead others. This type has a strong will, is very intelligent, and dares to make decisions.
4. **The Debater :** The debaters are people who have the most analytical nature. They usually examine the pros and cons well before issuing their arguments.
5. **The Advocate :** This type is the most argumentative. They will not accept the facts easily because they are those who will hold fast to what they believe.
6. **The Mediator :** This type is the most helpful type among other types. Those who have this type are usually observers of what is happening around them.
7. **The Protagonist :** People with this type are usually the speaker in a group, they usually always express themselves without rethinking what they have said or done.
8. **The Campaigner :** The campaigner is the type who always follows the social developments around them. This type also includes the type who likes to help his colleagues who are in need of help.
9. **The Logistician :** This type relies more on statistics than emotions.
10. **The Defender :** They are the type who will always help the people they love, no matter if that person is right or wrong.
11. **The Executive :** People with this type are people who are very good at managing things. They are the smartest and most skilled type of



organization.

- 12. The Consul :** Consuls are those who are popular and have great social influence. These people are usually on the cheerleading team or the basketball team.
- 13. The Virtuoso :** People with this type are the type of people who often play with objects such as a musical instrument. They love to disassemble something and then put it back in better condition.
- 14. The Adventurer :** This type is those who cannot be put in a box, what this means is that this type is the type that cannot be kept quiet, they will always have the desire to explore and experiment.
- 15. The Entrepreneur :** This type is a person who has a mindset of their own, they have a desire to be independent and believe that they have to work hard for themselves.
- 16. The Entertainer :** People with this type tend to have a lot of energy and it's like they never run out, they will keep talking no matter if someone is listening to them or not.

